

# UTILITY PATENT APPLICATION TRANSMITTAL

(for new non-provisional applications under 37 CFR § 1.53(b))

DUPLICATE ☐

Atty. Dkt. No: 5298-03500  
PM99021

Inventor(s):  
Gorley L. Lau

Title: METHOD FOR FORMING A  
METALLIZATION STRUCTURE IN  
AN INTEGRATED CIRCUIT

## CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10

"Express Mail" mailing label number: EL497319475US

DATE OF DEPOSIT: December 30, 1999

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

*Debra L. Tix*  
Debra L. Tix

## Application Elements

1. ☒ Filing Fee

The filing fee is calculated as shown below.

Total Claims	20	-20=	0	x \$18.00=	\$0.00
Independent Claims	3	-3 =	0	x \$78.00=	\$0.00
Multiple Dependent Claims				Fee:	
				Basic Fee:	\$690.00
				Assignment Fee:	\$40.00
				Sub-Total:	\$730.00
				Reduction by 50% for Small Entity:	
				Total:	\$730.00

- ☒ A check in the amount of \$730.00 is enclosed.

The Commissioner is hereby authorized to charge any other fees which may be required or credit any overpayment to Conley, Rose, & Tayon, P.C., Deposit Account No. 03-2769/5298-03500.

**One duplicate copy of this form is enclosed.**

2. ☒ Specification

Total Pages, or

29 pages of specification; 4 pages of claims (1-20); 1 page of Abstract

3. ☒ Drawings

Informal Figures 1-9 on 6 sheets

4. ☒ Oath or Declaration

☒ Newly executed

☐ Copy from a prior application (see 37 C.F.R. § 1.63(d))

5. ☐ This application is filed by fewer than all the inventors named in the prior application

☐ Delete the following inventor(s) named in the prior non-provisional application:

☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

6. ☐ The entire disclosure of the prior application is considered to be part of the accompanying application and is hereby incorporated by reference herein.
7. ☐ Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- ☐ Computer Readable copy
- ☐ Paper Copy (identical to computer copy)
- ☐ Statement verifying identity of above copies
9. ☒ Assignment Papers
10. Power of Attorney
- ☒ Is attached.
- ☐ The power of attorney appears in the original papers of the prior application.
- ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
11. ☐ Information Disclosure Statement (IDS)
- ☐ Copies of IDS Citations
12. Amendments
- ☐ A preliminary amendment is enclosed.
- ☐ Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 C.F.R. § 116 in the prior application.
- ☐ Cancel in this application original claim(s) \_\_\_\_\_ of the prior application before calculating the filing fee. At least one original independent claim is retained for filing purposes.
- ☐ Amend the specification by inserting before the first line the sentence: \_\_\_\_\_
13. ☒ Return Receipt Postcard(s)
14. Small Entity Status
- ☐ A small entity statement is enclosed.
- ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- ☐ Is no longer claimed.
15. ☐ Priority of foreign application number \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. §§ 119(a)-(d)
16. ☐ Petition under 37 C.F.R. § 136 for Extension of Time
17. ☐ Other: \_\_\_\_\_

Address all future correspondence to:

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Signature

Name

Registration No.

Date

34,146

December 30, 1999